



# HIPAA Assessment

## HIPAA Breach Notification Rule Worksheet



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Scan Date: 06-Feb-2020

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## Policies and Procedures

### 1.1 - Breach Complaint & Determination Policy

Do your Policies and Procedures include the requirements for providing a process for individuals to complain and determining that a breach has occurred? Attach a copy of the Breach Complaint and Determination Policy & Procedures.

Yes

Responded By: Lindsey

#### Additional Notes

02.05.2020

#### **Follow-up to 1.1 if you answered Yes above - Breach Complaint and Determination - Training**

Do all workforce members receive training pertaining to the Breach Notification Rule so they understand how to prevent breaches, recognize breaches, and report breaches? Attach a copy of Breach Complaint and Determination Policy & Procedures Training Materials & Records.

No

### 1.2 - Breach Risk Assessment Policy

Do your Policies and Procedures include the requirements for conducting a risk assessment to determine if a low probability exists that PHI has been compromised? Attach a copy of the Breach Risk Assessment Policy & Procedures.

Yes

#### **Follow-up to 1.2 if you answered Yes above - Breach Risk Assessment Policy & Procedures - Training**

Do all applicable workforce members receive training pertaining to the Breach Notification Rule so they understand how to conduct a risk assessment? Attach a copy of Breach Risk Assessment Policy & Procedures Training Materials & Records.

No

### 1.3 - Breach Individual Notification Policy

Do your Policies and Procedures include the requirements notifying individuals after a breach, in accordance with the Breach Notification Rule and all other applicable laws and requirements? Include the requirements for timely notification, required content, using approved methods, and notification through the media or a website if required. Attach a copy of the Breach Individual Notification Policy & Procedures.

Yes

#### **Follow-up to 1.3 if you answered Yes above - Breach Individual Notification Policy & Procedures - Training**

Do all applicable workforce members receive training pertaining to the Breach Notification Rule and all other applicable laws and requirements? Attach a copy of the Breach Individual Notification Policy & Procedures Training Materials & Records

No

#### 1.4 - Breach Reporting Policy

Do your Policies and Procedures include the requirements to report breaches to the HHS Secretary and to all other entities as required by laws and other requirements? Attach a copy of the Breach Reporting Policy & Procedures.

Yes

#### ***Follow-up to 1.4 if you answered Yes above*** **- Breach Reporting - Training**

Do all workforce members receive training pertaining to the Breach Notification Rule so they understand how to prevent breaches, recognize breaches, and report breaches? Attach a copy of the Breach Reporting Policy & Procedures Training Materials & Records

No

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